16592

Client No.: 007564050920

Re No.: 6013-114US



#### **Facsimile**



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Number of pages including this cover sheet:

13

**FAX RECEIVED** 

Date:

February 11, 2003

FEB 1 2 2003

From:

Paul Marcoux

**GROUP 1600** 

Telephone:

(418) 640-5988

Direct Fax:

(418) 640-1500

E-Mail:

pmarcoux@ogilvyrenault.com OFFICIAL

То	Company	City	Fax
Shailendra Kumar	USPTO	Washington	(703) 308-4556

Message

#15

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Poulin et al.

Serial No.:

09/529,319

Art Unit: 1621

Filed:

April 11, 2000

Examiner: Kumar, S.

For:

POLYAMINE TRANSPORT INHIBITORS

# PETITION UNDER MPEP SECTION 711.03(C) TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION

Assistant Commissioner for Patents Washington, DC 20231 U.S.A.

Applicants request that the Abandonment of the application mentioned by the Examiner in a telephone conversation dated February 6, 2003 be withdrawn under MPEP §711.03(c); Applicants never received the Office Action, approximately dated June 2002. In support of this Petition, Applicants submit a Declaration of Paul Marcoux, the practitioner, stating that the Office Action was not received by his office and attesting to the fact that a search of the Firm's records confirms that the Office Action was not received.

Attached to the Declaration is a copy of the firm's docket card (EXHIBIT B) showing where the non-received Office Action would have been entered, if it had been received and docketed.

Withdrawal of the holding of abandonment and acknowledgement of the active status of this application are respectfully requested. Please also proceed immediately with remailing the Office Action with a new statutory period.

The Examiner is hereby authorized to charge our deposit account No. 19-5113 for the Petition fee of \$130.00 pursuant to 37 C.F.R. §1.17(h).

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#### 09/529,319 Assistant Commissioner for Patents

The Commissioner is hereby authorized to charge such fee or any additional fees associated with this Petition to our deposit account No. 19-5113.

Respectfully submitted,

Registration No. 24,990

Date: February 11, 2003

OGILVY RENAULT 1600 - 1981 McGill College Ave. Montreal, Quebec Canada H3A 2Y3

Signature

Tel: (418) 640-5988

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Paul Marcoux

Name of person signing certification

2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Poulin et al.

Serial No.:

09/529,319

Art Unit: 1621

Filed:

April 11, 2000

Examiner: Kumar, S.

For:

POLYAMINE TRANSPORT INHIBITORS

## DECLARATION OF PAUL MARCOUX, (PRACTITIONER)

Assistant Commissioner for Patents Washington, DC 20231 U.S.A.

Sir:

I, Paul Marcoux, state as follows:

I am a patent agent admitted to practice before the United States Patent and Trademark Office, under Registration No. 24,990, and am also a consultant and retired partner in the patent and trademark agents Firm of OGILVY RENAULT.

I have primary responsibility for handling the above-identified Application. On February 6, 2003, our Firm received a telephone call from Examiner Shailendra Kumar, who indicated that failure to reply to the last Office Action within the statutory period of three months plus three months of extension of time, from the mailing date of the Office Action, led to the abandonment of the application. After discussing the matter with the Examiner in charge of the application, we were informed that it would be possible to file a Petition requesting the status of abandonment withdrawn by the Patent and Trademarks Office.

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### Assistant Commissioner for Patents 09/529,319

It can be seen from a letter dated July 17, 2002, signed by Steven L. Highlander, of the former Patent Attorneys in charge of this case, submitted as EXHIBIT A that the prosecution file of the present application was transferred to our firm on July 17, 2002. On October 22, 2002, we filed a Revocation of Previous Powers of Attorney and Appointment of New Attorneys, and a Notice of Acceptance of the Revocation was acknowledged on October 25, 2002 by the USPTO. To verify what took place between the alleged sending of the Official Action and the telephone call received from the Examiner, we checked with Steven L. Highlander of the previous Patent Attorneys in charge of this case and we carefully considered the status of the application in our docket system. No other documents were received from the former Patent Attorneys regarding the present patent Application. On February 10, 2003, we had a telephone conversation with Mr. Steven Highlander from the firm Fulbright & Jaworski (the former Patent Attorneys in charge of this case) during which, Mr. Highlander confirmed that no Office Action was received by them on or after June 2002.

Our Firm, including our docketing department, the Firm's docket records, myself, the patent agent trainee working under my supervision, and the file itself show no record of receiving an Office Action by the U.S. Examiner on or about June 2002.

Specifically, when a document is received by our office from the Patent and Trademark Office, it is segregated from other mail by our mail department. The document is immediately sent to the firm's docketing department before it is sent to anyone, including the agent working on the matter. The firm docketing department enters the statutory period on the Office Action and enters the shortened statutory period and extended statutory period into a computer for generating and publishing dockets either for the firm or for a specific agent. This date is entered a second time by a different person for confirming the deadline. This date is then published on a department's docket until such department provides a copy or express written confirmation that a response has been filed.

## Assistant Commissioner for Patents 09/529,319

A copy of the firm's general docket card pertaining to this application containing all entries (EXHIBIT B) is attached herewith, specifically showing that no Office Action dated approximately June 2002 was ever received concerning the above application. Had it been received, it would have been entered on the docket card. As can be seen on EXHIBIT B, the firm docket generated monthly shows no response being due up to January 2003 for our reference 6013-114US, again supporting the fact that the Office Action was not received.

After the dockets have been entered, the original document given to the mailroom staff is sent from our mailroom to the patent agent. Our operating procedure is to then have a copy of the document made for the client, and if need be, additional copies for the other inventors. The agent then secures the original Office Action into the file.

Upon my search and investigation, I conclude that neither myself, my assistant, the patent agent trainee nor the former Patent Attorney's firm received an Office Action dated about June 2002, concerning the above application.

As is immediately apparent from the above explanations, we have numerous systems in place to ensure that documents having a due date are docketed and responded to in a timely manner. Just prior to all due dates, numerous mechanisms engage to ensure a response and avoid abandonment. Here, any document received would have been immediately entered (separately) by our docketing department or the patent agent trainee. A document having a due date would also have been listed/identified on our numerous separate docketing systems.

A check of all possible locations of such an Office Action, including the file jacket in the file of the above-identified Application, and the many docket systems failed to turn up any such Office Action. In light of the above, our firm has no record of ever

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### Assistant Commissioner for Patents 09/529,319

receiving the Office Action dated approximately June 2002, prior to receiving the above-mentioned telephone call from the Examiner.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code: and that such willful and false statements may jeopardize the validity of the application or any patent issued therefrom.

Bv:

Paul Marcoux Reg. No. 24,99

Dated:

February 11, 2003

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Paul Marcoux

February 11, 2003

Name of person signing certification

Date

02/11/03 TUE 11:27 [TX/RX NO 8701] 2007

## **EXHIBIT A**

#### FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP 600 CONGRESS AVENUE. SUITE 2400 AUSTIN. TEXAS 78701-3271 WWW.FULBRIGHT.COM

Steven Lee Highlander Partner shighlander@fulbright.com DIRECT DIAL: TELEPHONE: FACSIMILE: (512) 536-3184 (512) 474-5201 (512) 536-4598

July 17, 2002

#### VIA FEDERAL EXPRESS

Mr. Marc Gagne Ogilvy Renault 500 Grande Allee Est Quebcc (Quebec) GIR 2J7 CANADA

Re:

Transferring of Universite Laval Files

Client Ref. No. VAL141; Our Ref. No. UNVL:002; Matter No. 10004420

Dear Mr. Gagne:

At the request of our client, we enclose herewith the following files for the above-referenced matter:

Box 1

UNVL:002; UNVL:002CA; UNVL:002E;

UNVL:002-MX and UNVL: 002P--(Volumes I&II)

Box 2

UNVL:003 - (Patent Searches File); UNVL:003CA;

UNVL:003P and UNVL:003EP

We will take no further action from this point forward and you agree to assume all responsibility for further action to be taken with respect to the above-referenced files.

Please acknowledge receipt of the enclosed files by signing in the space provided below and returning a copy of this letter to me at your earliest convenience.

Vary buly yours,

teven L. Highlander

SLH/cpj

25188928.1

AUSTIN - DALLAS . HONG KONG . HOUSTON . LONDON . LOS ANGELES . MINNZAPOLIS . MUNICH . NEW YORK . SAN ANTONIO . WASHINGTON DC

Mr. Marc Gagne July 17, 2002 Page 2

Encl.:

cc: Ms. Danielle Allard Mr. Robert Masella

Leslie S. Marlowe

Sandy Gill

## **EXHIBIT B**

10/FEB/2003 11:23:48

### SWABEY OGILVY RENAULT File Details

Page 1 Patent & TM

File Number:

6013-114US

File Name:

Patent Title:

POLYAMINE TRANSPORT

INHLBITORS

Client:

Université Laval 6013

Old Number:

Agent(s): Reference #: Paul Marcoux (510)

clie-002375

SPECIAL NOTE:

This case was transferred to us. sv.

#### SUMMARY

Filing type: P

PATENT

Case type: A2

DIRECT CLIENT FILING IN THE US

Country code: US

Date:

Case status: Pending

Patent #:

Filing certificate: yes

Filing date: -11APR2000

App scrial #: 09/529319

Patent title: POLYAMINE TRANSPORT INHIBITORS

Pro in charge: 510

Paul Marcoux (510)

#### SUMMARY DETAILS

CPC: no

PCT or PCT N.P.: yes

Continuation no

matter:

Orig Case; no

Country code:

PCT II: -Interna filing date: 21APR1998 Type of continu: Previous app #:

Earliest priority dt:

App serial #:

App serial #: US98/07806

Small entity: no

#### **EVENTS**

					130	0-11
	Date	Description	Year	Type	Donc	Paid
Code		Description				

#### **NAMES**

Assignee8		
Namel	Name2	Number
llex Oncology, Inc.		ASGN-010862
Université Laval	6013	ASGN-001091

Agrociates		
Namel	Name2	Number

Applicants/Regis.PTM		
Namel	Name2	Number
Hex Oncology, Inc.		APPL-113249
Université Laval	6013	APPL-010873

Inventors		
Name1	Name2	Number
Audette	Marie	INVE-051362

OGILVY RENAULT

10/FEB/2003 11:23:48

### SWABEY OGILVY RENAULT File Details

Page 2 Patent & TM

INVE-051363
INVE-051361

Opponents Number Name2 Namel

Licensees Number Name2 Namel

### **RELATED MATTERS**

File number: 6013-114US

File name:

Case type: PATENT

Filing type: DIRECT CLIENT FILING IN THE US

Country: U.S.A.

Case status: P

S/N Application

Date Issued, 11APR2000 Patent #: 09/529319

Agent Paul Marcoux (510)

#### DISB. CARD

Date	Code Description Total:	Total Debtor 0.00
Current_	Code Description	Total Debtor